

3rd Call for Proposal

Strategic project development

Climate Change Adaptation: assessing
vulnerabilities and risks and translating them to
implementation actions at the regional and local
levels

Belgrade, Serbia
6 April 2011

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Agenda

14:00 – 14:15

OPENING

Mr. Ognjen Mirić – Serbian European Integration Office, Deputy Director, Coordinator for EU funds

Ms. Sanda Šimić – Serbian European Integration Office, Assistant Director

Ms. Anett Lehoczky – SEE Managing Authority, Programme Manager

14:15 – 14:30

Introduction to the 3rd CfP

Mr. Ivan Curzolo – SEE JTS

14:30 – 14:50

ToR2 background and practical information for applying

Ms. Alessandra Pala – SEE JTS

14:50 – 15:30

Climate Change Adaptation (explanation of the ToR)

Ms. Jennifer McGuinn – Senior Expert

15:30 – 16:00

Coffee break

16:00 – 17:30

Audience presenting their ideas, Q+A

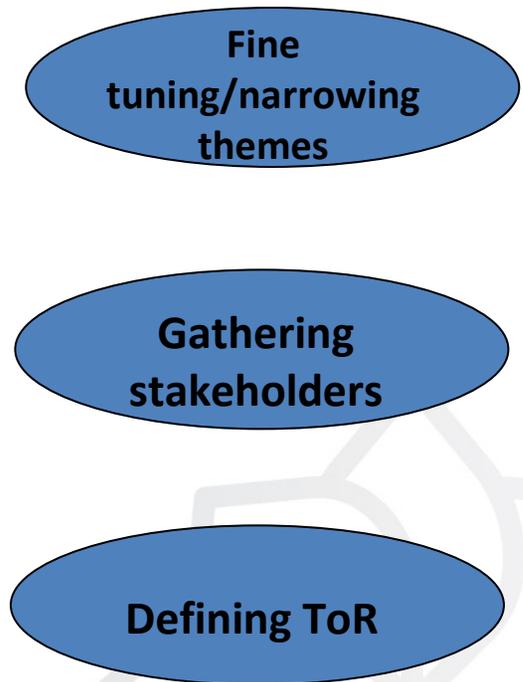
17:30 – 17:45

Summing up and farewell

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The process



Background

First discussion on strategic calls at SEE program level (after approval of the programme)

Starting point for an innovative exercise....

- Operational Program: general concept (7.2 sub-chapter)
- Program Manuals: (strategic project, stakeholders, procedure)
- Preliminary MC agreement (MC Vienna)
- Questionnaire submitted by the Partner States, the outcomes of the 1st SEE strategic workshop (understanding needs and expectations at national level for strategic projects)



The questionnaire

- Coordinated at national level by the representatives of the SEE partner states
- Heterogeneity in contents (draft project ideas, wide themes, etc.)
- Heterogeneity in stakeholders
- Wide interest on Climate Change
- Balanced interest both on adaptation and mitigation strategies

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The strategic seminars

Proposed themes for Dubrovnik:

- Climate Change Adaptation: assessing vulnerabilities, risks and implementation needs
- Enabling real progress on climate change mitigation through sustainable energy use
- Integrating climate change concerns into local and regional planning

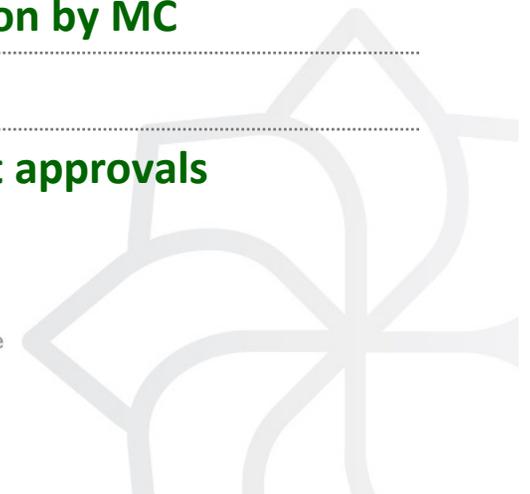
Proposed theme for Thessaloniki:

Climate Change Adaptation: assessing vulnerabilities and risks and translating them to implementation actions at the regional and local levels



Provisional timetable 3rd call

05.05.2010	Decision on 3 rd call at MC committee in Pula		
08-09.07.2010	1 st strategic seminar in Dubrovnik		
22.09.2010	2 nd strategic seminar in Thessaloniki		
Start	End	Duration	Task
25.02.11		2 months	Approval of ToRs
25.02.2011	08.04.11	1 month	Territorial marketing
Mid April	End May	6 weeks	Call is open for Eols
End July		2 days	Proposal pre-selection by MC
October	November	8 weeks	Call is open for AF
January 2012		2 days	MC meeting: project approvals



Practical info for applying

1. Call announcement informs on

- Available budget - 30M€
- Project duration is 30 months max

ERDF & IPA co-funding rate is 85% of eligible costs; ENPI is 90%.

IPA & ENPI funds are subject to signature of the financing agreement between EC and the beneficiary countries

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2. Expression of interest with

- online submission on SEE website + (if approved by the MC) application to 2nd step (only high quality projects)

3. SEE Programme Manual v.3.1 (regulating only the 1st step of the 3rd call) is being finalized:

Partners' general eligibility:

- Maximum 3 financing partners per country and per project
- at least 8 Partner States (both financing and ASP)
- 1 institution can be financing partner in max 2 project proposals
- 1 institution can be Lead Applicant in max 1 project proposal

Assessment criteria indicated (self assessment of proposals is suggested)

4. IPA integration is under finalisation

- Integrated administrative and financial management with ERDF
- No pre-financing!
- Public co-financing only
- No budget thresholds

5. ENPI available

- Minimum threshold 100,000 €

6. Statement on Pre-financing and Co-financing

- to be signed by all PPs



Reference documents

SEE Operational Programme

Programme Manual 3rd call (1st step)

Call announcement

Relevant Terms of Reference (ToR)

Applicants' Guidelines

Application documents:

1. Expression of Interest

2. Statement on Pre-financing and Co-financing to be signed by all
PPs

... to be soon available on the SEE Programme website!

Expectations

General expectations

Further development of the concepts of the ToR

Relevance/suitability of the partnership:

- geographical balance (financing partners and ASPs)
- territorial representation by public authorities
- clear roles consistent with competences
- balanced involvement of partners

Clear transnational value added

Balanced budget distribution (per PP, per WP)

Clear, transparent and coherent set of activities (workplan)

Avoid re-inventing the wheel! Check what's going on around you!

Expectations

ToR2 expectations:

Focus on local/regional public authorities/administrations

Strong territorial impact

Strong capitalisation (not just repetition) and synergy with relevant projects

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For information

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SEE JTS Programme Manager
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Third Call for Proposals Priority 2

ToR2: Climate Change Adaptation: assessing vulnerabilities and risks and translating them to implementation actions at the regional and local levels

Belgrade 6 April 2011

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Presentation overview

Background on climate adaptation

TOR rationale and overall objective of the call

Specific objectives – key points

Activities and examples linking to outputs

Getting the partnership right

Final tips



The need to adapt...

Inevitable effects of historical emissions:

- Temperature increases
- Precipitation decreases
- Severe weather: increase in storms, precipitation, flooding
- Decreases in runoff and impact on water quantity and quality
- Impacts above average for Southern Europe

SEE Region Socio-economic impacts

Agriculture: decrease in crop yields

Energy: reduction in hydropower supply

Tourism: coastal and temperature impacts worsen conditions

Human health: heat, storms, disease

Employment: economic conditions worsen



Vulnerability to Climate Change

Exposure - to changes in the climate

Sensitivity – the degree to which a system is affected by or responsive to climate stimuli

Adaptive capacity – the ability to prepare for, respond to and tackle the effects of climate change

(The Economics of Climate Change: The Stern Review, 2006)



Addressing adaptive capacity

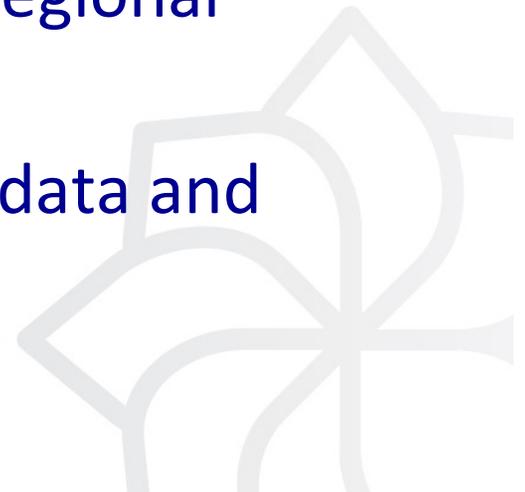
- **Information** on the nature and evolution of the climate hazards faced by a society
- **Resources** including financial and social capital
- **The ability of a society to act collectively** and to resolve conflicts through governance and responsibility

*(UNDP-GEF Practitioner Guide/REGIONS
2020)*



Needs in the region

- Availability, consistency and transparency of **data and information**
- Finer resolution **climate change models**
- Capacity and awareness of **regional and local-level** actors on specific effects/impacts
- **Integration and mainstreaming** of climate adaptation objectives and actions into regional and local policies and plans
- **Indicators** for tracking and monitoring; data and capacity to use them



Overall Objective of the Call

Raise adaptive capacity, develop and plan a concrete set of measures, and lower the vulnerability of the SEE Programme partner states to climate change through coordinated, transnational work

Through

Improved capacity of regional and local authorities to understand their specific climate vulnerabilities



Six Specific Objectives

- Govern the general project content
- All **six** are compulsory in the projects
- Project ideas should clearly demonstrate how they meet them



Specific Objectives 1 and 2

- To promote the **integration of climate change adaptation** objectives and issues into regional-level planning and programming
- To **develop the capacity of selected regional and local authorities and stakeholders** to understand climate vulnerabilities and plan for the longer-term needs of adaptation to climate change

Specific Objectives

3 & 4

- To **introduce and disseminate** to regional and local authorities, as well as associated stakeholders **methodologies for the assessment of regional** (and in some cases local) level exposure and sensitivity to climate change, based on available national and European-level studies, data and information
- To **increase dialogue and awareness between the producers and users of the data and information**, and improve the quality, transparency, and usability of data and information related to climate vulnerabilities and impacts

Specific Objectives 5 & 6

- To improve the capacity of and cooperation between the institutions supporting climate change adaptation, including national, regional and local authorities, sectoral authorities, academic and research organizations, and scientific institutions responsible for the collection and presentation of relevant data and information
- To facilitate a network across countries of the SEE Programme region dedicated to the assessment of climate vulnerability at the regional level and the formulation of corresponding adaptation strategies

Compulsory activities

- All **four** must be included
- Some flexibility in the way they are carried out
- Sectoral concentration, target groups, specific content may vary
- Activities must produce the **six** required outputs

Compulsory activity 1

Activity: Exchange of experience seminars, targeted at specific sectors of common interest, to discuss different approaches to assessing climate vulnerability, collection and processing of information and integration of this information into local and regional planning

Outputs (direct): Training/capacity building workshops seminars

Outputs (indirect): Contributes to all outputs

Examples: Partner meeting/seminar/workshop covering project ongoing methodological work, pilots inputs from external experts, EU initiatives, other experience



Compulsory activity 2

Activity: Joint development (by partners and possible cooperating external experts) of a methodology or other guidance materials to assist local and regional authorities to integrate the data, information and other results of vulnerability studies into their spatial, economic and sectoral plans and programmes

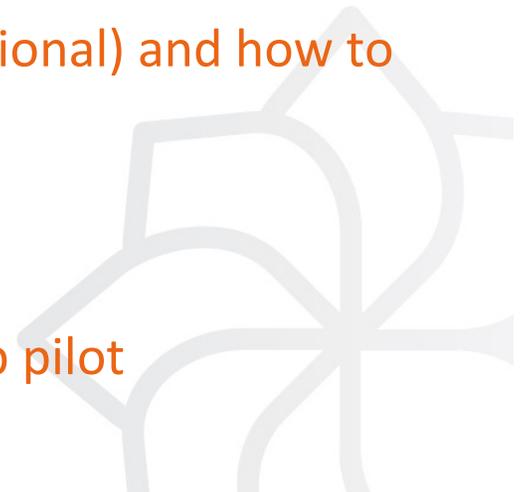
Outputs: A flexible methodology and/or guidance documents

Pilot strategies for integration of vulnerability results into local/regional plans and policies

Examples: Possible contents of a methodology:

- Compilation of information sources (EU, national, regional) and how to access and use
- Methods for stakeholder consultation
- Indicators for regional plans and how to use

Selected partners receive technical assistance to develop pilot vulnerability studies and strategies to integrate



Compulsory activity 3

Activity: Seminars, workshops and other discussion platforms (e.g. online) specifically between data/information institutions and local/regional authorities and planners

Outputs: A platform for exchange between data producers and users

A formal network of specialists

Examples:

Series of seminars for partners + partner guests from relevant institutions and facilitated discussion workshops on data and information and its usage

Website forum for resources, ideas and discussion



Compulsory activity 4

- **Activity:** Development of 3 – 5 pilot vulnerability studies for local or regional areas within the partnership.. Pilot studies should have an emphasis on transferability of the results through seminars and written outputs
- **Outputs:** A set of pilot vulnerability studies for selected regions
- Pilot strategies for integration of vulnerability
- **Example:** Some partner regions (or regions identified by other partners) receive technical assistance to develop vulnerability studies, based on a common TOR developed by the partners. Experience is presented in a seminar and transferred to the methodology

Getting the partnership right

- Quality of the partnership is critical to the success of the project
- Transnational added value is dependent
- Combination of institutions is necessary to meet the objectives
- Concrete requirements in ToR



Partner institutions

- Institutions responsible for monitoring and assessing the impacts of climate change:
National-level hydro-meteorological institutions or other environmental institutions at national/regional level
- Institutions responsible for the development and functioning of regions within a country:
ministries of regional development (if not applicable other national institutions), local government, etc.

Partner institutions

- Selected **regional authorities** (these should be limited to those with demonstrated experience and/or capacity or motivation to work in the field of climate change vulnerability assessment and adaptation planning)
- Other public institutions of a national or international character with the **capacity to address climate change adaptation**

Partnership requirements

- 12 – 16 partners ideally
- Balance between capacity levels
- **Three** per country max
- At least 8 partner states represented
- **Three** partners (min) on climate change data and information
- **Four** partners (min) are local/regional authorities with some experience in assessing climate vulnerability



Final tips

- Avoid submitting existing project ideas that are out of scope (e.g. mitigation/energy related projects, purely national projects)
- Avoid simple repetition of the ToR
- Double-check that outputs stem from activities, and activities address sub-objectives
- Ensure consistency throughout
- Consider English-language editing if needed

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